

**BEFORE THE BOARD OF EDUCATIONAL EXAMINERS
OF THE STATE OF IOWA**

RECEIVED
EXECUTIVE DIRECTOR
BOARD OF EDUCATIONAL EXAMINERS

JUN 25 2018

In the matter of:)
)
)
ARTHUR TATE,)
)
Respondent.) **SETTLEMENT AGREEMENT
AND FINAL ORDER**

In accordance with the provisions of Iowa Code sections 17A.12(5) and 272.2(4) and 282 IAC 11.4(6), and with full knowledge of the right to demand a formal hearing before the Board upon the pending complaint prior to the imposition of sanction upon his license, the Respondent expressly waives the right to a disciplinary hearing and notifies the Board of his desire to resolve the pending complaint through means of informal disposition.

The Respondent concedes the jurisdiction of the Board for all issues relevant hereto and voluntarily consents to the State's counsel presenting this agreement to the Board with the terms provided. If the Board does not accept the terms of this agreement, the disciplinary hearing will be rescheduled and the stipulations contained herein are not binding upon the Respondent and will not be presented against him at the time of hearing without further agreement of the Respondent.

Board approval of this settlement shall constitute resolution of this matter and will be entered as a FINAL ORDER of the Board.

STIPULATIONS

1. Respondent holds a Professional Administrator License (FOLDER # 998209). Respondent's license is current and will next expire on 8/31/2022.

2. During the relevant facts of this matter, Respondent was employed as Superintendent with the Davenport Community School District.

3. On December 14, 2016, the Board of Educational Examiners received a complaint against Respondent alleging a violation of the Code of Ethics.

4. On April 7, 2017, the Board found probable cause to proceed to hearing based upon the facts set forth in paragraph 7 below.

5. The Board charged Respondent with failing to comply with state law, in violation of 282 Iowa Administrative Code rule 25.3(6)(m), and willfully departing from or failing to conform to the minimum standards of acceptable and prevailing educational practice in Iowa, in violation of 282 Iowa Administrative Code rule 25.3(8)(a).

6. The Board issued a Notice of Hearing and Statement of Charges on August 24, 2017. Hearing was scheduled for June 26 and 27, 2018.

7. Investigation revealed that Respondent purposefully and publicly advocated for the Davenport School Board to exceed its lawful spending authority, based upon his belief that the Iowa school funding formula is unfair and should be amended.

8. In an effort to avoid the time and expense of a hearing, the uncertainty of litigation, and the potential for appeal, the parties have mutually agreed to this Settlement Agreement to resolve a disputed claim. Nothing in this Settlement Agreement should be construed as an admission, and Respondent expressly denies he departed from the ethical requirements of his position. In a decision dated June 19, 2018, the assigned Administrative Law Judge granted the State's Motion for Summary Judgment and determined, as a matter of law, Respondent had violated Board Rules when he drafted, recommended, and submitted a budget that exceeded the amount authorized by and provided for in Iowa Code section 257.7.

SETTLEMENT AGREEMENT

9. This Settlement Agreement and Final Order constitutes the final resolution of a contested case proceeding and shall have the force and effect of a disciplinary order entered following a contested case hearing.

10. In order to resolve this matter without proceeding to hearing, Respondent agrees to the following conditions:

- a. Respondent accepts a WRITTEN REPRIMAND.
- b. Respondent may submit a letter of explanation to the Board.

LICENSEE DECLARATION

I understand that this Settlement Agreement and Final Order is subject to the approval of the Board and will have no force or effect if it is not accepted by the Board.

I understand State's counsel will present this Settlement Agreement and Final Order to the Board *ex parte*.

I agree to comply with the requirements set forth in the stipulations and requirements of this Settlement Agreement and Final Order and understand that my failure to do so can result in additional discipline of my license.

I understand that this Settlement Agreement and Final Order is a public record, which will become part of my permanent licensure file and will be available for public inspection and reproduction.

I understand that my name will be added to the national clearinghouse database kept by the National Association of State Directors of Teacher Education and Certification (NASDTEC).

June 25, 2018
Date

Arthur Tate
Arthur Tate, Respondent

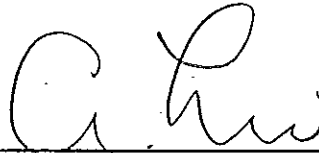
ORDER

IT IS THE FINAL ORDER OF THE IOWA BOARD OF EDUCATIONAL EXAMINERS THAT:

1. The conduct with which Respondent has been charged constitutes a violation of the Code of Professional Conduct and Ethics governing the teaching profession. Respondent is REPRIMANDED for the charged conduct.

2. In determining the appropriate sanction to impose in this case, the Board has considered the nature and seriousness of the allegations as well as mitigating circumstances.

Dated this 3RD day of August, 2018.



Ann Lebo, Executive Director
Iowa Board of Educational Examiners

Copies to:

Arthur Tate
RESPONDENT

Wendy Meyer
ATTORNEY FOR RESPONDENT

Allison Schmidt
Jordan Esbrook
ATTORNEYS FOR STATE

**BEFORE THE BOARD OF EDUCATIONAL EXAMINERS
OF THE STATE OF IOWA**

In the matter of)	Case No. 16-184
)	Folder No. 998209
ARTHUR TATE,)	
)	NOTICE OF HEARING
Respondent.)	AND STATEMENT OF CHARGES

YOU ARE HEREBY NOTIFIED that the Iowa Board of Educational Examiners, exercising the jurisdiction conferred by Iowa Code chapters 17A and 272, has found probable cause of a violation of Board rules and ordered this matter scheduled for hearing.

A. TIME, PLACE AND NATURE OF HEARING

1. Hearing will be held on Tuesday, February 6, 2018, before Administrative Law Judge Margaret LaMarche, acting on behalf of the Iowa Board of Educational Examiners. The hearing shall begin at 1:00 p.m. in Department of Inspections and Appeals, Wallace State Office Bldg, Third Floor, 502 E. 9th Street (East 9th and Grand Avenue), Des Moines, Iowa. You should report to the third floor Iowa Department of Inspections and Appeals' (DIA) receptionist prior to 1:00 p.m. to obtain the room assignment.

2. Answer. Within twenty (20) days of the date of service of this Notice of Hearing, you are required to file an Answer specifically admitting, denying, or otherwise responding to the allegations included within the Factual Allegations. In that Answer, you should also state whether you will require an adjustment of the date and time of the hearing. A copy of the Answer shall be provided by the Respondent to the Assistant Attorney General identified below.

3. Hearing Procedures. The procedural rules governing the conduct of the hearing are found at 282 Iowa Administrative Code chapter 11. At hearing, you may appear personally or be represented by an attorney, at your own expense. You will be allowed the opportunity to respond to the charges against you. Each party will be allowed to testify, examine and cross-examine witnesses, and present documentary evidence. If you fail to appear at the hearing, the Board may enter a default decision or proceed with the hearing and render a decision in your absence. If you need to request an alternative time or date for hearing, you must comply with the requirements of 282 Iowa Administrative Code rule 11.19.

If either party wishes to present telephonic testimony or to participate in the hearing by telephone, arrangements must be made at least ten (10) days in advance of the hearing date by filing a written request with the presiding Administrative Law Judge, Department of Inspections and Appeals, Wallace State Office Building, Des Moines, Iowa 50319, or by faxing a written request to (515) 281-4477. A copy of the request for telephonic testimony must be served on the Board and all parties. Any

resistance to the request for telephone testimony must be filed within five (5) days of service of the notice.

4. Pre-hearing conference. Either party may request a pre-hearing conference to discuss evidentiary issues related to the hearing. The Board rules regarding pre-hearing conferences are found in 282 Iowa Administrative Code rule 11.18.

5. Prosecution. The office of the Attorney General is responsible for prosecuting and representing the public interest (the State) in this proceeding. Pleadings shall be filed with the Board and copies should be provided to counsel for the State at the following address:

Jordan Esbrook
Assistant Attorney General
Iowa Department of Justice
2nd Floor, Hoover State Office Building
Des Moines, Iowa 50319
Telephone (515) 281-8159

6. Communications. You may not contact Board members by phone, letter, facsimile, e-mail, or in person about this Notice of Hearing or the pending charges. Board members may only receive information about the case when all parties have notice and an opportunity to participate, such as at the hearing or in pleadings you file with the Board office and serve on all parties in the case. You should direct any questions about this proceeding to Dr. Ann Lebo, the Board's Executive Director, at (515) 281-5849 or to Assistant Attorney General Esbrook at (515) 281-8159.

B. SECTIONS OF STATUTES AND RULES INVOLVED

Count I

7. Respondent is charged with failing to comply with state law, in violation of 282 Iowa Administrative Code rule 25.3(6)(m).

Count II

8. Respondent is charged with willfully departing from or failing to conform to the minimum standards of acceptable and prevailing educational practice in Iowa, in violation of 282 Iowa Administrative Code rule 25.3(8)(a).

C. JURISDICTION AND LEGAL AUTHORITY

9. The Board has jurisdiction in this matter pursuant to Iowa Code chapters 17A and 272. If any of the allegations against you are proven at hearing, the Board has authority to take disciplinary action against you under Iowa Code chapters 17A and 272, and 282 Iowa Administrative Code chapter 11.

D. FACTUAL CIRCUMSTANCES

10. Respondent holds a Professional Administrator LICENSE (FOLDER # 998209). Respondent's license is current and will next expire on 8/31/2022.

11. During the relevant facts of this matter, Respondent was employed as Superintendent with the Davenport Community School District.

12. On December 14, 2016, the Board of Educational Examiners received a complaint against Respondent alleging a violation of the Code of Ethics.

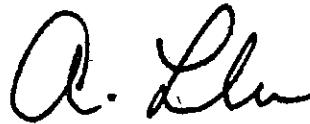
13. On April 7, 2017, the Board found probable cause to proceed to hearing based upon the facts set forth in paragraph 14 below.

14. Investigation revealed that Dr. Tate purposefully and publicly advocated for the Davenport School Board to exceed its lawful spending authority, based upon his belief that the Iowa school funding formula is unfair and should be amended.

E. SETTLEMENT

15. This matter may be resolved by surrender of your license or an agreement to accept a lesser sanction. The procedural rules governing the Board's settlement process are found at 282 Iowa Administrative Code rule 11.4(6). If you are interested in pursuing settlement of this matter, please contact the Assistant Attorney General identified in Section A, above.

Dated this 24th day of August, 2017.



Dr. Ann Lebo, Executive Director
Iowa Board of Educational Examiners

Copies to:

Arthur Tate (first-class mail and restricted certified mail)
RESPONDENT

Wendy Meyer (electronic mail)
ATTORNEY FOR RESPONDENT

Jordan Esbrook (electronic mail)
ATTORNEY FOR STATE